

41 3624
Application Serial No.: 09/316,546
Attorney Docket No: 99-012

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CUSTOMER NO. 22927

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OFFICE OF PETITIONS

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GROUP 3600

Applicants: Walker et al.
Application No.: 09/316,546
Filed: May 21, 1999
Title: METHOD AND APPARATUS FOR PROCESSING CREDIT
CARD TRANSACTIONS
Attorney Docket No. 99-012

Group Art Unit: 3624
Examiner: KARMIS, Stefanos

RE-SUBMISSION OF AMENDMENT & RESPONSE

to the Non-final Office Action mailed November 13, 2002 (Paper No. 11)

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Examiner:

Applicants respectfully request the Examiner's consideration and
recording of Applicant's Amendment and Response to Non-final Office Action
previously filed April 29, 2003. ?

Relevant Facts and Proof

On April 29, 2003, Applicants timely filed an Amendment & Response to
the Non-final Office Action mailed November 13, 2002 (Paper No. 11).

Applicants concurrently filed a Petition for Extension of Time, for which the appropriate fee was paid (\$465). Applicants have received no further communication from the Office since April 29, 2003.

Applicants have attempted to verify USPTO receipt and recordation of the Amendment & Response to the Non-final Office Action mailed November 13, 2002 (Paper No. 11) through the Patent Application Information Retrieval (PAIR) system. Applicant's Amendment & Response did not appear in PAIR as being received by the USPTO.

Attached for the Examiner's consideration please find copies of the following:

- 1) Return Receipt Postcard stamped and received by the USPTO on May 2, 2003;
- 2) Transmittal Letter, as filed on April 29, 2003 (1 pg.) (x2);
- 3) Combined Amendment & Petition for Extension of Time Under 37 CFR 1.136(a) (Small Entity), as filed on April 29, 2003 (2 pp.) (x2); and
- 4) Amendment & Response, as filed on April 29, 2003 (13 pp.).

CONCLUSION


The Examiner's early examination and consideration are respectfully requested. Alternatively, if there are any questions regarding the present application, the Examiner is invited to contact Applicants' undersigned attorney using the information provided below.

While no fees are believed to be due at this time, please charge any fees that may be required for this Re-submission to Deposit Account No. 50-0271. Furthermore, should an extension of time be required, please grant any extension of time which may be required to make this Re-submission timely, and please charge any fee for such an extension to Deposit Account No. 50-0271.

If the Examiner has any questions regarding this Re-submission or the present application, the Examiner is cordially requested to contact Michael D. Downs at telephone number (203) 461-7292 or via electronic mail at Mdowns@walkerdigital.com.

Respectfully submitted,

August 11, 2004
Date



Michael D. Downs
Attorney for Applicants
Registration No. 50,252
Mdowns@walkerdigital.com
(203) 461-7292/voice
(203) 461-7300/fax



TRANSMITTAL LETTER
(General - Patent Pending)

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Docket No.
99-012

AUG 1 8 2004

In Re Application Of: WALKER et al.

OFFICE OF PETITIONS

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
09/316,546	May 21, 1999	KARMIS, Stefanos	22927	3624	7943

Title: METHOD AND APPARATUS FOR PROCESSING CREDIT CARD TRANSACTIONS

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COMMISSIONER FOR PATENTS:

GROUP 3600

Transmitted herewith is:

Re-Submission of Amendment & Response to the Non-Final Office Action mailed November 13, 2002 (3 pp.);
Copy of Return Receipt Postcard stamped and received by USPTO May 2, 2003; Copy of Transmittal Letter as filed
April 29, 2003 (1 pg.) (x2); Copy of Combined Amendment & Petition for Extension of Time as filed April 29, 2003
(2 pp.) (x2); Copy of Amendment & Response as file April 29, 2003 (13 pp.); and Return Receipt Postcard.

in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 50-0271
as described below.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.


Signature

Dated: August 11, 2004

Michael D. Downs
Attorney for Applicants
PTO Registration No. 50,252
(203) 461-7292/phone
(203) 461-7300/fax

CUSTOMER NO. 22927
Mdowns@walkerdigital.com

I certify that this document and fee is being deposited
on August 11, 2004 with the U.S. Postal Service as
first class mail under 37 C.F.R. 1.8 and is addressed to the
Commissioner for Patents, P.O. Box 1450, Alexandria, VA
22313-1450.

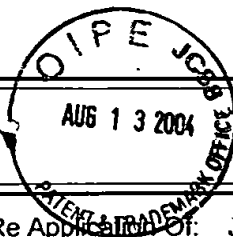


Signature of Person Mailing Correspondence

Michael D. Brinton

Typed or Printed Name of Person Mailing Correspondence

cc:

**RECEIVED**

AUG 18 2004

Docket No.
99-012**TRANSMITTAL LETTER**
(General - Patent Pending)

In Re Applicant Of: JAY S. WALKER et al.

OFFICE OF PETITIONSSerial No.
09/316,546Filing Date
May 21, 1999Examiner
Stefanos KarmisGroup Art Unit
3624

Title:

METHOD AND APPARATUS FOR PROCESSING CREDIT CARD TRANSACTIONS

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GROUP 3600TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

Combined Amendment & Petition for Extension of Time, 2 pp., (x2);
Provisional Petition, 3 pp.;
Amendment & Response to the Non-final O.A., 13 pp.; and
Return Receipt Postcard.

COPY

in the above identified application.

- ☐ No additional fee is required.
- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. _____ as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \$595.00
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Signature

Dated: April 29, 2003

Michael D. Downs
Attorney for Applicants
PTO Registration No. 50,252
Walker Digital, LLC
203.461.7292/phone
203.461.7300/fax

Customer No. 22927

Mdowns@walkerdigital.com

CC:

I certify that this document and fee is being deposited
April 29, 2003 with the U.S. Postal Service as
first class mail under 37 C.F.R. 1.8 and is addressed to the
Assistant Commissioner for Patents, Washington, D.C.
20231.

Signature of Person Mailing Correspondence

Veronika S. Leliever

Typed or Printed Name of Person Mailing Correspondence



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GROUP 3600

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Aimée J. Knoller
Records Manager
Walker Digital Management, LLC
Five High Ridge Park
Stamford, CT 06905

Commissioner for Patents:

Date Received:

Applicant: Jay S. WALKER et al.
Serial No.: 09/316,546
Filing Date: May 21, 1999
Title: METHOD AND APPARATUS FOR PROCESSING
CREDIT CARD TRANSACTIONS
(99-012)

Sir:

Please acknowledge receipt of the following papers by stamping the date received on this card and returning the same to the addressee.

- Transmittal Letter (1 pg.) (x2);
- Combined Amendment & Petition for Extension of Time (2 pp.) (x2);
- Provisional Petition, 3 pp.; and
- Amendment and Response to the Non-Final Office Action 13, pp.

Date mailed: April 29, 2003.

